



## United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/940,925	08/28/2001	James E. Dahlberg	FORS-06612	6817
23535	7590 12/24/2002			
	CARROLL, LLP	EXAMINER		
101 HOWAR SUITE 350			SANDALS, WILLIAM O	
SAN FRANCISCO, CA 94105			ART UNIT	PAPER NUMBER
			1636	
			DATE MAILED: 12/24/2002	

Please find below and/or attached an Office communication concerning this application or proceeding.

6



Dahlberg et al.

# Office Action Summary

Application No. **09/940,925** 

Examiner

Applicant(s)

William Sandals

Art Unit 1636

Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE	The MAILING DATE of this communication appears	on the cover sheet with the correspondence address				
THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be evaleble under the provisions of 3 CFR 1.136 (a). In no event, however, may a raply be timely filed effer SIX (6) MONTHS from the melling date of this communication.  - If the period for reply specified above is less then thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely If ND period for reply specified above is less then thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely If the period for reply specified above is less then thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely If the period for reply specified above is less then thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely If the period to reply specified above is then thirty (30) days will be considered timely If the period to reply specified above is then thirty (30) days will be considered timely If the period to reply specified above is then thirty (30) days will be considered timely If the period to reply specified above is then thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely If the period to reply specified above is the mention attatutory period will apply and will explication to become ABANDONED (35 U.S.C. § 133) Any reply residually find the thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely If the period to reply is specified above is less then thirty (30) days, a reply will be considered timely If the period to reply is specified above is less than the mention attatutory period will apply and will explica SIX (6) MONTHS from the melling date of this communication.  - If the period to reply is specified above is less than the melling date of this communication If the period to reply within the set or standard timely If the period to reply withi	• •					
meling date of this communication.  If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  If NO period for reply specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  Fallure to reply within the set or extended period for reply will, by stretule, cause the application to become ABANDONED (35 U.S.C. \$ 1 33).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1,704(b).  Status  1) □ Responsive to communication(s) filed on Aug 28, 2001  2a) □ This action is FINAL. 2b) □ This action is non-final.  3) □ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.  Disposition of Claims  4) □ Claim(s) 1-44						
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (8) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filled, may reduce any seared patent term adjustment. See 37 CFR 1.704(b).  Status  1) ▼ Responsive to communication(s) filled on Aug 28, 2001  2a) □ This action is FINAL. 2b) ▼ This action is non-final.  3) □ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.  Disposition of Claims  4) ▼ Claim(s) 1-44						
Responsive to communication(s) filed on Aug 28, 2001  2a) This action is FINAL.  2b) This action is non-final.  3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.  Disposition of Claims  4) Claim(s) 1-44 is/are pending in the application.  4a) Of the above, claim(s) is/are withdrawn from consideration.  5) Claim(s) is/are allowed.  6) Claim(s) is/are rejected.  7) Claim(s) is/are objected to.  8) Claims 1-44 are subject to restriction and/or election requirement.  Application Papers  9) The specification is objected to by the Examiner.	<ul> <li>If the period for reply specified above is less than thirty (30) days, a reply within the lift NO period for reply is specified above, the maximum statutory period will apply a Failure to reply within the set or extended period for reply will, by statute, cause the Any reply received by the Office later than three months after the mailing date of the control of the period for reply received.</li> </ul>	and will expire SIX (6) MONTHS from the mailing date of this communication. he application to become ABANDONED (35 U.S.C. § 133).				
This action is <b>FINAL</b> . 2b) ☑ This action is non-final.  3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11; 453 O.G. 213.  Disposition of Claims  4) ☑ Claim(s) 1-44 is/are pending in the application.  4a) Of the above, claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☑ Claims 1-44 are subject to restriction and/or election requirement.  Application Papers  9) ☐ The specification is objected to by the Examiner.						
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.  Disposition of Claims  4) ☑ Claim(s) 1-44	1) X Responsive to communication(s) filed on Aug 28, 2	<u>2001</u> .				
closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11; 453 O.G. 213.  Disposition of Claims  4)  Claim(s) 1-44 is/are pending in the application.  4a) Of the above, claim(s) is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed.  6) □ Claim(s) is/are rejected.  7) □ Claim(s) is/are objected to.  8) ∇ Claims 1-44 are subject to restriction and/or election requirement.  Application Papers  9) □ The specification is objected to by the Examiner.	2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This act	tion is non-final.				
4) \overline{\text{Claim(s)}} \frac{1-44}{\text{is/are pending in the application.}} is/are pending in the application.  4a) Of the above, claim(s) is/are withdrawn from consideration.  5) \overline{\text{Claim(s)}} is/are allowed.  6) \overline{\text{Claim(s)}} is/are rejected.  7) \overline{\text{Claim(s)}} is/are objected to.  8) \overline{\text{X}} Claims \frac{1-44}{\text{are subject to restriction and/or election requirement.}} \text{Application Papers}  9) \overline{\text{The specification is objected to by the Examiner.}}	, i a constant a const					
4a) Of the above, claim(s)	Disposition of Claims					
5) □ Claim(s)	4) X Claim(s) <u>1-44</u>	is/are pending in the application.				
6) Claim(s)	4a) Of the above, claim(s)	is/are withdrawn from consideration.				
7) Claim(s)	5) 🗆 Claim(s)	is/are allowed.				
8) Claims 1-44 are subject to restriction and/or election requirement.  Application Papers  9) The specification is objected to by the Examiner.	6) Claim(s)	is/are rejected.				
Application Papers  9) The specification is objected to by the Examiner.	7) Claim(s)	is/are objected to.				
9) The specification is objected to by the Examiner.	8) 💢 Claims <u>1-44</u>	are subject to restriction and/or election requirement.				
10) $\square$ The drawing(s) filed on is/are a) $\square$ accepted or b) $\square$ objected to by the Examiner.	9) $\square$ The specification is objected to by the Examiner.					
	10) ☐ The drawing(s) filed on is/are a) ☐ accepted or b) ☐ objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.						
If approved, corrected drawings are required in reply to this Office action.						
12) The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. §§ 119 and 120						
13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) □ All b) □ Some* c) □ None of:						
1. Certified copies of the priority documents have been received.						
2. $\square$ Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
*See the attached detailed Office action for a list of the certified copies not received.						
14) Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).						
a) The translation of the foreign language provisional application has been received.						
15) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.						
Attachment(s)  1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413) Paper No(s).	· · ·	4) The priory Summany (RTO 413) Paper Note)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  5) Notice of Informal Patent Application (PTO-152)		<u> </u>				
3) Information Disclosure Statement(s) (PTO-1449) Paper No(s).	_					





Application/Control Number: 09/940,925

Art Unit: 1636

#### **DETAILED ACTION**

### Election/Restriction

1. This application contains claims directed to the following patentably distinct species of the claimed invention: Cleavase<sup>TM</sup> BN, *Thermus aquaticus* DNA polymerase, *Thermus thermophilus* DNA polymerase, *E. coli* Exo III, and *Saccharomyces cerevisiae* Rad1/Rad10 complex.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 1-3, 19, 30 and 31 are generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).



Application/Control Number: 09/940,925

Art Unit: 1636

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

2. This application contains claims directed to the following patentably distinct species of the claimed invention: *Campylobacter*, *Esherichia*, *Mycobacterium*, *Salmonella*, *Shigella* and *Staphylococcus*.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 1, 14, 17, 19, 39 and 42 are generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).



Page 4

4

Application/Control Number: 09/940,925

Art Unit: 1636

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

- 3. Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).
- 4. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

#### Conclusion

5. Certain papers related to this application are *welcomed* to be submitted to Art Unit 1636 by facsimile transmission. The FAX numbers are (703) 308-4242 and 305-3014. The faxing of such papers must conform with the notices published in the Official Gazette, 1156 OG 61 (November 16, 1993) and 1157 OG 94 (December 28, 1993) (see 37 CFR 1.6(d)). NOTE: If applicant *does* submit a paper by FAX, the original copy should be retained by the applicant or

Application/Control Number: 09/940,925

Art Unit: 1636

applicant's representative, and the FAX receipt from your FAX machine is proof of delivery. NO

DUPLICATE COPIES SHOULD BE SUBMITTED, so as to avoid the processing of duplicate

papers in the Office.

Any inquiry concerning this communication or earlier communications should be directed

to Dr. William Sandals whose telephone number is (703) 305-1982. The examiner normally can

be reached Monday through Thursday from 8:30 AM to 7:00 PM, EST. If attempts to reach the

examiner by telephone are unsuccessful, the examiner's supervisor, Remy Yucel, Ph.D. can be

reached at (703) 305-1998.

Any inquiry of a general nature or relating to the status of this application should be

directed to the Tech Center customer service at telephone number is (703) 308-0198.

William Sandals, Ph.D.

Examiner

December 21, 2002

TERRY MCKELVEY
PRIMARY EXAMINER

Page 5